



# Smithsonian Institution

Office of the Inspector General

July 8, 2019

**Via Email: 75508-38196306@requests.muckrock.com**

Emma Best  
MuckRock News  
DEPT MR 75508  
411A Highland Ave  
Somerville, MA 02144-2516

Dear Ms. Best:

This is our response to your request in which you asked for "a copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2016."

The Smithsonian Institution and its OIG are not subject to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, or the Privacy Act, 5 U.S.C. § 552a. *Dong v. Smithsonian Institution*, 125 F.3d 877 (D.C. Cir. 1997), *cert. denied*, 524 U.S. 922 (1998); *Requests for Smithsonian Institution Information*, Smithsonian Directive 807 (SD 807) (Feb. 4, 2009). Nevertheless, we provide information to the public in keeping with the Institution's mandate to increase and diffuse knowledge. See 20 U.S.C. §41 *et seq.* The OIG answers requests for documents consistent with SD 807, and it adheres to the principles of FOIA, the Privacy Act, and relevant caselaw. In addition, the OIG must comply with the requirements of the Inspector General Act of 1978, as amended, 5 U.S.C. App. 3, which places restrictions on what information can be released. Finally, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c). This response is limited to those records that are subject to the requirements of the laws, policies, and principles listed above. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist

Accordingly, we have attached a 2-page document that is responsive to your request.

You have a right to appeal a partial or full denial of your request. An appeal must be in writing, addressed to the Smithsonian Institution Office of the Inspector General, and made within 60 days from the date of this response letter. The request must explain your reason(s) for the appeal. The Smithsonian Inspector General will decide your appeal in writing specifying the reason(s) for the granting or denying of the appeal.

Sincerely,

Epin H. Christensen  
Counsel to the Inspector General

File No.	Offense Code	Date Closed
14-073	Abuse of Authority	01/07/2016
15-055	Personnel Issue	01/07/2016
16-020	Public Concern	01/07/2016
15-023	Personnel Issue	01/13/2016
16-026	Public Concern	01/13/2016
16-027	Fraud	01/13/2016
16-029	Theft/Embezzlement	01/19/2016
16-031	Personnel Issue	01/19/2016
16-023	Contract/Procurement	01/28/2016
	Irregularity	
16-030	Personnel Issue	02/09/2016
15-058	General Criminal Activity	02/11/2016
14-043	Abuse of Authority & Theft	02/17/2016
16-013	Abuse/Misuse SI Property	02/17/2016
16-022	Theft/Embezzlement	02/25/2016
16-025	Abuse/Misuse SI Personnel	02/25/2016
16-034	Col	02/25/2016
15-026	National Collections Issue	03/09/2016
16-038	Other - Non Specific	03/09/2016
16-042	Threats	03/10/2016
15-027	Public Concern	03/17/2016
16-019	Executive Request	03/18/2016
16-010	Contract/Procurement	04/12/2016
	Irregularity	
16-035	Public Safety/Health Concern	04/21/2016
16-037	Personnel Issue	04/26/2016
16-049	Other - Non Specific	04/26/2016
16-006	Personnel Issue	05/05/2016
14-027	Theft/Embezzlement	05/24/2016
16-053	Other - Non Specific	05/25/2016
15-020	Public Concern	06/06/2016
16-036	Fraud	07/06/2016
16-039	Contract/Procurement	07/06/2016
	Irregularity	
16-058	Other - Non Specific	07/12/2016
11-067	Fraud	07/14/2016
14-039	Contract/Procurement	07/14/2016
	Irregularity	
14-058	Theft/Embezzlement	07/14/2016
15-042	Contract/Procurement	07/14/2016
	Irregularity	
16-047	Threats	07/14/2016
15-066	Time and Attendance	07/19/2016
16-004	Personnel Issue	07/19/2016
16-005	Personnel Issue	07/19/2016
16-012	General Criminal Activity	07/19/2016
16-044	Abuse/Misuse SI Personnel	07/19/2016
16-063	Public Concern	07/19/2016

16-065	Assist Other Agency	08/02/2016
15-034	National Collections Issue	08/03/2016
16-046	General Criminal Activity	08/03/2016
15-036	Fraud	08/15/2016
16-066	Public Concern	08/16/2016
16-048	Abuse/Misuse SI Property	08/17/2016
16-045	Abuse/Misuse SI Property	09/08/2016
14-064	Abuse of Authority	09/21/2016
16-017	Abuse/Misuse SI Personnel	09/30/2016
16-055	General Criminal Activity	09/30/2016
16-056	Contract/Procurement	09/30/2016
	Irregularity	
16-077	Assist Other Agency	09/30/2016
16-080	Assist Other Agency	09/30/2016
14-061	Theft/Embezzlement	10/27/2016
13-024	Abuse of Authority	10/28/2016
13-047	Travel Irregularities	11/17/2016
16-021	Abuse/Misuse SI Property	11/30/2016